

# SHORT PROOFS OF TVERBERG-TYPE THEOREMS FOR CELL COMPLEXES

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**ABSTRACT.** We present short proofs of two Tverberg-type theorems for cell complexes by S. Hasui, D. Kishimoto, M. Takeda, and M. Tsutaya. One of them states that for any prime power  $r$ , any simplicial sphere  $X$  of dimension  $(d+1)(r-1)-1$ , and any continuous map  $f: X \rightarrow \mathbb{R}^d$  there are pairwise disjoint faces  $\sigma_1, \dots, \sigma_r$  of  $X$  such that  $f(\sigma_1) \cap \dots \cap f(\sigma_r) \neq \emptyset$ .

We present short proofs of two Tverberg-type theorems for cell complexes ([HKTT, Theorem 1.4 and Corollary 1.5] quoted as Corollary 1.b and Theorem 3 below).

We abbreviate ‘finite simplicial complex’ to ‘complex’. We mostly omit ‘continuous’ for maps and group actions. Denote by  $\Delta_n$  the simplex of dimension  $n$ .

A map  $f: X \rightarrow \mathbb{R}^d$  from a complex  $X$  is said to be an *almost  $r$ -embedding* if  $f(\sigma_1) \cap \dots \cap f(\sigma_r) = \emptyset$  whenever  $\sigma_1, \dots, \sigma_r$  are pairwise disjoint faces of  $X$ .

The topological Tverberg theorem states that *if  $r$  is a prime power, then the simplex  $\Delta_{(d+1)(r-1)}$  (or, equivalently, its boundary) has no almost  $r$ -embeddings to  $\mathbb{R}^d$* . This was proved by Bárány–Schlossman–Szűcs and Özaydin–Volovikov, see surveys [BZ16, Sk16] and the references therein. (A counterexample for  $r$  not a prime power follows from results of Özaydin, Mabillard–Wagner, and Gromov–Blagojević–Frick–Ziegler; for the details, see the surveys [BZ16, Sk16] and the references therein; cf. Remark 5.c.) The topological Tverberg theorem was generalized as follows.

**Corollary 1.** (a) [HKTT, before question 1.2] *If  $r$  is a prime power, then no boundary of a convex  $(d+1)(r-1)$ -polytope has an almost  $r$ -embeddings to  $\mathbb{R}^d$ .*

(b) [HKTT, Corollary 1.5] *If  $r$  is a prime power, then no complex homeomorphic to  $\partial\Delta_{(d+1)(r-1)}$  has an almost  $r$ -embeddings to  $\mathbb{R}^d$ .*

These results immediately follow from the topological Tverberg theorem and Lemmas 2.a,b below, respectively.<sup>1</sup> Corollary 1.a proves for prime powers the Tverberg–Bárány–Kalai conjecture stated in [HKTT, before question 1.2], [SZ24, Conjecture 1.2]. For non-prime-powers that conjecture concerns maps *linear* on faces, so it remains open.

A complex  $A$  is said to be a *refinement* of a complex  $B$  if there is a homeomorphism  $|A| \rightarrow |B|$  (called refining homeomorphism) between their bodies such that every face of  $B$  is the union of images of some faces of  $A$ .

**Lemma 2.** (a) [Gr03, p. 200] *The boundary of a convex  $(n+1)$ -polytope is a refinement of  $\partial\Delta_{n+1}$ .*

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<sup>1</sup>This was noted in [HKTT, before question 1.2]. Lemma 2.b was not present in [HKTT], so that paper provided a more complicated proof of Corollary 1.b relying on Theorem 3 below. Cf. arXiv version [HKTT, §1, after corollary 1.5].

(b) Any complex homeomorphic to the boundary  $\partial\Delta_{n+1}$  of the simplex is a refinement of  $\partial\Delta_{n+1}$ .

(b') For any complex  $D$  homeomorphic to  $\Delta_n$  any refining homeomorphism  $h : \partial D \rightarrow \partial\Delta_n$  extends to a homeomorphism  $D \rightarrow \Delta_n$ .

*Proof.* (b') Take a homeomorphism  $F : D \rightarrow \Delta_n$ . Denote by  $cX$  the cone over a complex  $X$ . Take a homeomorphism  $C : \Delta_n \rightarrow c\partial\Delta_n$ . Then  $C^{-1} \circ ch \circ cF|_{\partial D} \circ C \circ F$  is the required extension:

$$D \xrightarrow{F} \Delta_n \xrightarrow{C} c\partial\Delta_n \xrightarrow{cF|_{\partial D}} c\partial D \xrightarrow{ch} c\partial\Delta_n \xrightarrow{C^{-1}} \Delta_n.$$

(b) Induction on  $n$ . The case  $n = 0$  is trivial. Let us prove the inductive step  $n - 1 \rightarrow n$ . Take any vertex  $v$  of the complex. The link  $\text{lk } v$  is homeomorphic to  $\partial\Delta_n$ . So by the induction hypothesis  $\text{lk } v$  is a refinement of  $\partial\Delta_n$ . Then  $\text{st } v$  is the conical refinement of the complement in  $\partial\Delta_{n+1}$  to the interior of  $\Delta_n$ . Denote by  $D$  the complement in the given complex to the open star of  $v$ . By [RS72, 3.13]  $D$  is homeomorphic to  $\Delta_n$ . So by (b) the refining homeomorphism  $\text{lk } v \rightarrow \partial\Delta_n$  extends to a homeomorphism  $D \rightarrow \Delta_n$ , which is automatically refining.<sup>2</sup>  $\square$

Although the proof of Corollary 1.b in [HKTT] is much more complicated than above, let us describe the approach of that paper, because it leads to a result of independent interest ([HKTT, Theorem 1.4] quoted as Theorem 3 below). The idea is to use analogy to the Özaydin–Volovikov proof of the topological Tverberg theorem. Namely, to use a lemma of Özaydin–Volovikov (quoted below, [Vo96, Lemma]), and mimic the spectral sequence argument proving high enough acyclicity of the total space of a bundle, in the situation when a map is not a bundle (this terminology is not used in the statements below). There naturally appears a property of a simplicial sphere (complementary acyclicity defined in [HKTT, §1] and below) allowing to carry this argument. Thus the proof of [HKTT, Theorem 1.4] is an easy combination of known results and methods, see details in Remark 5.ab and footnote 3.

Let  $X$  be a complex. Let  $X - \sigma$  be the subcomplex of  $X$  consisting of faces which do not intersect  $\sigma$ . This is not to be confused with  $X \setminus \sigma$ . We take a prime  $a$  and  $r = a^m$ ; we omit coefficients  $\mathbb{Z}_a$  from the notation of homology groups.

For integers  $n \geq -1$  and  $s \geq 1$  a complex  $X$  is said to be

- *$n$ -acyclic* if  $X$  is non-empty and  $\tilde{H}_j(X) = 0$  for every  $j \leq n$ .
- *$s$ -complementary  $n$ -acyclic* if for every  $i = 0, 1, \dots, s$ , and pairwise disjoint faces  $\sigma_1, \dots, \sigma_i$  of  $X$ , the complex  $X - \sigma_1 - \dots - \sigma_i$  is  $(n - \dim \sigma_1 - \dots - \dim \sigma_i)$ -acyclic.

Set

$$n := d(r - 1) - 1.$$

**Theorem 3.** *If  $r$  is a power of a prime, then no  $(r - 1)$ -complementary  $n$ -acyclic complex has an almost  $r$ -embedding to  $\mathbb{R}^d$ .*

Set

$$X^r := \cup \{ \sigma_1 \times \dots \times \sigma_r : \sigma_i \text{ is a face of } X, \sigma_i \cap \sigma_j = \emptyset \text{ for every } i \neq j \}.$$

<sup>2</sup>The above proofs of (b,b') are analogous to [Gr03, p. 200] (they use [RS72, 3.13] instead of central projection).

Each one of (b), (b') implies that *any complex  $D$  PL homeomorphic to  $\Delta_n$  is a refinement of  $\Delta_n$*  (absolute version of (b')).

In the above proof of (b) we need (b'), not its absolute version.

This is a topological analogue of the set of arrangements, so we use the notation analogous to  $x^{\mathbb{Z}} = x(x-1)\dots(x-r+1)$ .

Let  $\pi : X^{\mathbb{Z}} \rightarrow X$  be the restriction of the projection  $X^r \rightarrow X$ .

*Proof of Theorem 3.* The group  $G := \mathbb{Z}_a^m$  acts on  $X^{\mathbb{Z}}$  by permutations of the  $r$  points, the permutations corresponding to the action of  $G$  on itself by left shifts. Thus  $G$  can be considered as a subgroup of the group of permutation of  $r = |G|$  elements. Hence it is clear and well-known (see e.g. surveys [BZ16, Lemma 3.9], [Sk16, Lemma 2.3]) that *if there is an almost  $r$ -embedding  $X \rightarrow \mathbb{R}^d$ , then there are a fixed points free action of  $G$  on  $S^n$  and a  $G$ -equivariant map  $X^{\mathbb{Z}} \rightarrow S^n$ .*

Apply the following result [Vo96, Lemma] for  $k = n$  and  $Y = X^{\mathbb{Z}}$ .

*Suppose that  $G$  acts on  $Y$  and on  $S^k$  without fixed points, and  $Y$  is  $k$ -acyclic. Then there does not exist a  $G$ -equivariant map  $Y \rightarrow S^k$ .*

We see that it suffices to show that  $X^{\mathbb{Z}}$  is  $n$ -acyclic.

We show this by induction on  $r$ . The base  $r = 1$  follows because  $X^1 = X$ . Let us prove the inductive step. Since  $X$  is  $(r-1)$ -complementary  $n$ -acyclic, for each face  $\sigma$  of  $X$  the complex  $X - \sigma$  is  $(r-2)$ -complementary  $(n - \dim \sigma)$ -acyclic. Hence by the inductive hypothesis  $(X - \sigma)^{r-1}$  is  $(n - \dim \sigma)$ -acyclic. Now the inductive step holds by the following lemma because  $X$  is  $n$ -acyclic.  $\square$

**Lemma 4.** *If  $F_{\sigma} := (X - \sigma)^{r-1}$  is  $(n - \dim \sigma)$ -acyclic for every face  $\sigma$  of a complex  $X$ , then  $H_s(X^{\mathbb{Z}}) \cong H_s(X)$  for every  $s \leq n$ .*

*Proof.* Consider <sup>3</sup> the  $\pi$ -preimage of the skeletal filtration  $X^{(0)} \subset X^{(1)} \subset \dots$  of  $X$ . One obtains the spectral sequence [FF89, §20.2] with

$$\begin{aligned} E_{p,q}^1 &= H_{p+q}(\pi^{-1}(X^{(p)}), \pi^{-1}(X^{(p-1)})) \cong \oplus H_{p+q}(\sigma \times F_{\sigma} \cup R, \pi^{-1}(\partial\sigma)) \cong \\ &\quad \oplus H_{p+q}(\sigma \times F_{\sigma}, \partial\sigma \times F_{\sigma}) \cong \oplus H_q(F_{\sigma}). \end{aligned}$$

Here the sums are over all  $p$ -faces  $\sigma$  of  $X$ , and  $R = \pi^{-1}(\partial\sigma) \setminus (\partial\sigma \times F_{\sigma})$ . By the acyclicity we have

$$E_{p,0}^1 = C_p(X) \quad \text{for } p \leq n, \quad E_{p,q}^1 = 0 \quad \text{for } p+q \leq n \quad \text{and } q > 0.$$

Since being  $(-1)$ -acyclic means being non-empty, the group  $E_{n+1,0}^1$  has a quotient group  $C_{n+1}(X)$ . The differential  $E_{p,0}^1 \rightarrow E_{p-1,0}^1$  is the boundary map  $C_p(X) \rightarrow C_{p-1}(X)$  for  $p \leq n$ , and is the composition  $E_{n+1,0}^1 \rightarrow C_{n+1}(X) \rightarrow C_n(X)$  of the quotient and the boundary maps for  $p = n+1$ . Hence

$$E_{p,0}^2 = H_p(X) \quad \text{for } p \leq n, \quad \text{and } E_{p,q}^2 = 0 \quad \text{for } p+q \leq n \quad \text{and } q > 0.$$

Then  $E_{p,q}^2 = E_{p,q}^{\infty}$  for  $p+q \leq n$ . Therefore  $H_s(X^{\mathbb{Z}}) \cong H_s(X)$  for every  $s \leq n$ .  $\square$

<sup>3</sup> This proof is an exercise on spectral sequences analogous to [FF89, §21.1B]. In particular, construction of  $E^1$  mimicks construction of  $E^1$  for the spectral sequence of a bundle, although  $\pi$  need not be even the Serre fibration.

Lemma 4 and the construction of  $E^1$  in its proof are clarified versions of [HKTT, Lemma 4.4 Proposition 4.3] (omitting unnecessary hocolimits). In [HKTT, §4] the construction of  $E^1$  (sufficient for the main result) was implicitly stated (before Proposition 4.3), and was used to deduce Proposition 4.3 (stated in the hocolimits language unnecessary for the main result).

**Remark 5.** (a) In spite of being much shorter, the above proof of Theorem 3 is not an alternative proof compared to [HKTT] but is just a different exposition avoiding artificially sophisticated language, and applying [Vo96, Lemma] instead of rewriting its proof in a slightly more sophisticated way. Analogously, the proofs of [KM21] could be simplified (except possibly for the chirality result).

(b) Corollary 1.b can be deduced from Theorem 3 using Alexander duality and the following known result:

*If any intersection of  $n$  complexes (including intersections involving only one complex) is contractible (including empty), then  $H^{n-1}$  of the union is zero.*

See the details in [HKTT, §2] (where this known result was not explicitly stated).

(c) In [HKTT, end of the paragraph after Theorem 1.1] the phrase ‘Frick proved [13] that the condition that  $r$  is a prime power is necessary’ is misleading as explained in [Sk16, Remark 1.9, §3.1, §5]. It is also misleading not to cite [Sk16] (for accurate description of references on the counterexample to topological Tverberg Conjecture), and [MW15], [Sk16, §3] (for the detailed version of the original proof, and for a published simplified survey exposition of the main ingredient to the counterexample).

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